

**Conclusion:** Our results indicate an increased risk of acute GVHD in association with DPB1 mismatch regardless of the TCE classification. TCE classification did not correlate with any transplant outcome considered in our cohort. This analysis does not support the clinical relevance of ranking DPB1 mismatches based on the TCE algorithm.

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### A SCORING SYSTEM PREDICTING OUTCOME AFTER UNRELATED DONOR STEM CELL TRANSPLANTATION IN PRIMARY REFRACTORY ACUTE MYELOID LEUKEMIA

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Treatment options for adults with primary refractory AML (PREF AML) are extremely limited. Whilst sibling allogeneic stem cell transplantation can result in long term survival most patients lack a matched family donor and are destined to die of refractory disease. Greater availability of unrelated donors and improvements in supportive care have increased the proportion of patients with PREF AML in whom allografting is technically feasible but the outcome of unrelated donor transplantation in this population has not been extensively studied. We therefore analysed overall survival in 168 patients with PREF AML who underwent unrelated donor transplantation between 1994 and 2006 with a median follow-up of 59 months (15-172). 80 patients received three or more courses of induction chemotherapy. The median percentage of bone marrow (BM) blasts at transplant was 39%. The 5-year overall survival for the whole group was 22%. In multivariate analysis, fewer than three courses of induction chemotherapy, a lower percentage of BM blasts at transplant and patient CMV seropositivity were associated with improved survival. We used the prognostic factors identified in multivariate analysis to develop a scoring system. This allowed the delineation of four prognostic groups with survival rates ranging between  $44 \pm 11\%$  and 0%. This study demonstrates an important role for unrelated donor transplantation in the management of selected patients with PREF AML and confirms the importance of initiating an urgent unrelated donor search in patients with no matched sibling donor who fail to respond to induction chemotherapy. Pre-transplant factors allow the identification of patients with PREF AML who are likely to benefit from unrelated donor transplantation.

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### HLA DISPARITY AND RAPID IMMUNE RECONSTITUTION DO NOT OVERCOME PROPENSITY TO RELAPSE IN PATIENTS UNDERGOING HAPLOIDENTICAL HEMATOPOIETIC STEM CELL TRANSPLANT (HSCT) WITH PERSISTENT DISEASE AT THE TIME OF TRANSPLANT

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While increasing HLA disparity is known to be associated with increased risk of significant graft versus host disease (GVHD), there is less data as to whether increasing HLA disparity is correlated with stronger, clinically significant graft versus leukemia effects. We examined a group of patients with acute leukemia undergoing haploidentical HSCT to assess whether 1) there was a marked difference in relapse rates based on the degree of HLA mismatch and 2) if early recovery of donor lymphoid subpopulations was associated with less relapse after HLA mismatched HSCT. Thirty-four adult patients with AML (24) and ALL (10) underwent haploidentical HSCT between 2005 and 2009 using a 2 step process which separates the infusion of the lymphoid and myeloid portions of the graft while attempting to render the

lymphocytes tolerant utilizing cyclophosphamide. The patients received  $2 \times 10^8/\text{kg}$  donor CD3 cells (DLI) after conditioning with either TBI 12 Gy (N = 24) or fludarabine  $30 \text{ mg}/\text{m}^2 \times 4$ , thiotepea  $5 \text{ mg}/\text{m}^2 \times 3$ , and TBI 2 Gy (N = 10). Two days after the DLI, all patients received cyclophosphamide (CY)  $60 \text{ mg}/\text{kg} \times 2$  followed by a CD 34 selected donor product. The TBI based regimen was given to 80% of patients with ALL and 56% with AML. We examined relapse rates based on the number of antigen mismatches at A, B, Cw, and DRB1 in the GVH direction. There were no discernable differences based on degree of HLA disparity with even the most haplodisparate group exhibiting high rates of relapse when disease was present at HSCT. In contrast, the presence of active leukemia at the time of HSCT had far more impact on subsequent relapse rates (see Table).

**Table 1. Outcomes Based on Degree of HLA Disparity**

		4 Antigen Mismatch	3 Antigen Mismatch	2 Antigen Mismatch	1 Antigen Mismatch
	Number of Patients	Relapsed/ Total	Relapsed/ Total	Relapsed/ Total	Relapsed/ Total
<b>Active Disease at HSCT</b>	17 (50%)	10/12 (83%)	2/2 (100%)	3/3 (100%)	N/A
<b>CR at HSCT</b>	17 (50%)	3/9 (33%)	1/7 (14%)	N/A	0/1 (0%)
<b>Total</b>		13/21 (62%)	3/9 (33%)	3/3 (100%)	0/1 (0%)

We also examined the impact of immune recovery on relapse. Absolute numbers of NK, and CD4 and CD8 T cells were examined in the first 4 months post HSCT. T cell, MNC, and total chimerism was greater than 99% donor-derived at the time of the assessment. For patients who did or did not relapse post HSCT the median numbers of lymphoid subsets (cells/uL) were: NK 165 (77-700) vs 209 (77-660), CD4 105 (18-245) vs 98 (10-403), and CD8 82 (9-1039) vs 176 (2-2380) respectively. In this small series of patients treated with the 2 step transplant method, relapse was associated more with the presence of disease at HSCT than with any discernable trend in degree of HLA disparity or early immunologic recovery. Other approaches to treat resistant leukemia are required to substantially improve disease free survival in these high risk patients.

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### ANTI-HLA ANTIBODIES PREDICT GRAFT FAILURE, TIME TO ENGRAFTMENT AND UMBILICAL CORD UNIT DOMINANCE IN DOUBLE UMBILICAL CORD BLOOD TRANSPLANTATION

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Anti-HLA antibodies (HLA-Ab) predict graft failure in unrelated donor and single umbilical cord blood (UCB) transplantation. We measured HLA-Ab in double UCB transplantation (DUCBT) with the hypothesis that HLA-Ab would predict time to engraftment, graft failure and UCB unit dominance.

**Methods:** 73 patients with banked pre-transplant sera who underwent DUCBT using 4/6 or better allelic HLA-matched UCB units (2004 -2008) were studied. Labscreen (One Lambda Inc.) was used to capture class I/II HLA-Ab and the Luminex100 IS system was used to detect fluorescent tagged binding of human IgG. Visual software was used to normalize results and to determine the presence of mixed class I/II HLA-Ab. Positive samples were tested using single antigen-coated microbeads. Beads with a 1000 mean fluorescent intensity above baseline were considered positive. Chimerism was measured using STR typing of informative alleles. Graft failure was defined as the absence of neutrophil engraftment 42 days from DUCBT or loss of UCB chimerism by day 100 without malignant relapse. UCB dominance was defined as > 90% contribution to hematopoiesis by a single UCB unit at day 100.

**Results:** 18 patients with HLA-Ab against UCB unit 1 (9), UCB unit 2 (2) or both UCB units (7) were identified. No differences in cell doses, viability or baseline characteristics were noted between patients with/without HLA-Ab. The presence of HLA-Ab was associated with an increased risk of graft failure (HLA-Ab against either UCB unit: OR 8.67, 95%CI 1.89–39.68,  $p = 0.0055$ ; HLA-Ab against both UCB units: OR 16.27, 2.82–93.87,  $p = 0.0034$ ). Neutrophil engraftment was delayed in the presence of HLA-Ab (median 21 vs. 29 days,  $p = 0.04$ ) and fewer patients engrafted platelets in the presence of HLA-Ab (76.4% vs. 50%,  $p = 0.03$ ). HLA-Ab against UCB unit 1 was associated with UCB unit 2 dominance (OR 9.43, 95%CI 1.16–76.47,  $p = 0.015$ ), while HLA-Ab against UCB unit 2 was associated with a non-significant trend toward UCB unit 1 dominance (OR 2.70, 95%CI 0.63–12.5,  $p = 0.28$ ). Overall survival was inferior in the presence of HLA-Ab against UCB unit 2 ( $p = 0.044$ ) or both UCB units ( $p = 0.027$ ), but not with HLA-Ab against UCB unit 1 only.

**Conclusions:** In DUBCT, the presence of HLA-Ab increases the risk of graft rejection, prolongs time to engraftment, predicts UCB dominance and is associated with inferior outcome. HLA-Ab screening should be incorporated into UCB unit selection strategies in DUCBT.

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### EX VIVO TREATMENT OF HEMATOPOIETIC STEM CELLS WITH 16,16-DIMETHYL PROSTAGLANDIN E2 (FT1050) IMPROVES ENGRAFTMENT AND HEMATOPOIETIC RECONSTITUTION

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Through an in vivo zebrafish screen for modulators of hematopoietic stem cell (HSC) development, a small molecule, 16,16-dimethyl prostaglandin E2 (FT1050) was identified (North, 2007). FT1050 was shown to enhance the engraftment potential of HSCs from murine bone marrow (mBM) or human umbilical cord blood (hCB) in murine engraftment models through an increase in proliferation, survival, migration and homing after a brief (1 to 2 hr) ex vivo treatment (North, 2007; North, 2009; Hoggatt, 2009; North, Goessling, Zon, unpublished data). We further optimized the ex vivo hCB incubation protocol using whole genome expression arrays and determined that HSC-containing cell products should be incubated with 10 $\mu$ M FT1050 for 2 hrs at 37°C to obtain the optimal response. In addition, we observed that FT1050 induces similar gene expression changes in hBM- and mobilized peripheral blood-derived CD34+ cells. Subsequent functional studies demonstrated that in keeping with increases of up to 18-fold in CXCR4 gene expression, cell surface CXCR4 protein expression was also significantly increased. One hour after treatment with 10 $\mu$ M FT1050 for 2 hrs at 37°C, 48 $\pm$ 1.9% of CB CD34+ cells expressed CXCR4 compared to 3.5 $\pm$ 0.01% in DMSO control ( $p < 0.05$ ). In vivo CFU-S12 analysis showed that treatment of mBM cells with 10 $\mu$ M FT1050 for 2 hrs at 37°C resulted in greater proliferation with a statistically significant increase in colony formation, 11.5 $\pm$ 1.4, compared to 4 $\pm$ 0.8 colonies with DMSO control ( $p < 0.001$ ). This short-term ex vivo incubation protocol, 10 $\mu$ M FT1050 for 2 hrs at 37°C, has been introduced into an ongoing Phase Ib clinical trial in adults with hematologic malignancies receiving a nonmyeloablative conditioning (melphalan, fludarabine and ATG) followed by double hCB transplantation, in which one of the two hCBs is incubated with FT1050 prior to infusion. The primary objective of the study is to determine the safety of FT1050 treatment of hCB. Preliminary data demonstrate that this ex vivo incubation can be reliably performed at the clinical site on the day of infusion with good cell recovery and viability. 11 subjects with a median age of 44 years have been accrued to date, of which two have been treated using the optimized ex vivo incubation protocol. 10 of 11 patients have achieved an ANC > 500 before Day 42. Transplant related mortality has been low with

one death at Day 53 from respiratory failure. 9 patients are alive, of which 7 are disease-free. Accrual is ongoing.

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### ALLOGENEIC TRANSPLANTATION USING HAPLOIDENTICAL DONOR VERSUS UNRELATED CORD BLOOD DONOR: A SINGLE CENTER RETROSPECTIVE STUDY

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We have performed a retrospective comparison of pediatric patients with leukemia receiving a haplo transplant ( $n = 29$ ) or UCBT ( $n = 38$ ) in Niño Jesus Children's Hospital since 1996 to 2010. There were not significant differences in immunophenotype, disease status and antecedent of prior autograft. However, haplo recipients tended to be older and of male gender.

Engraftment failure was significantly higher following UCBT 9 $\pm$ 5% compared to 7 $\pm$ 5% in haplo transplants ( $p = 0.001$ ). Median neutrophil engraftment were at 13 days for haplo and 20 days for UCBT ( $p = 0.01$ ) and platelet engraftment at 11 days for haplo and 56 days for UCBT ( $p = 0.0001$ ). Supportive care (transfusions, antibiotics, parenteral nutrition and hospitalization days) were significantly higher for cord blood transplants.

TRM and acute GVHD (more than grade II) incidence was higher in UCBT compared to haplo transplants. There were not significant differences in chronic GVHD and relapse probability between the two groups. Results are summarized in table 1.

Disease-free survival (DFS) with a median follow-up of 16 months (range: 1-42) and 57 months (range: 1-150) were of 44 $\pm$ 10% and 32 $\pm$ 7% ( $p = 0.03$ ) for haplo transplants and UCBT respectively. When we analyzed AML, there were not differences in DFS with both type of donor ( $p = 0.6$ ). However, DFS for ALL was better with haplo (41 $\pm$ 13%) against cord blood (26 $\pm$ 9%) ( $p = 0.03$ ). According to phase of disease, DFS was similar in early phase ( $p = 0.7$ ), but in advanced phase outcome was better with haplo (37 $\pm$ 14%) versus cord blood (21 $\pm$ 8%) ( $p = 0.05$ ).

Multivariate analysis of DFS showed that the main prognostic factors were disease status at transplant (HR 2.49,  $p = 0.02$ ), chronic GVHD (HR 0.21,  $p = 0.0001$ ) and source of stem cells (HR 5.75,  $p = 0.001$ ).

In conclusion, our data suggest that haploidentical donor is a good alternative for patients lacking an HLA identical donor.

**Table 1. Results.**

	aGVHD >II	TRM	Relapse	DFS
Haplo (n=29)	19 $\pm$ 7%	25 $\pm$ 9%	48 $\pm$ 12%	44 $\pm$ 10%
UCB (n=38)	44 $\pm$ 10%	57 $\pm$ 9%	25 $\pm$ 9%	32 $\pm$ 7%
P	0.03	0.05	0.7	0.03

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### CD34+ STEM CELL SELECTION AND CD3+ ADBACK FOR PEDIATRIC RECIPIENTS OF MATCHED UNRELATED ADULT DONOR (MUD) PERIPHERAL BLOOD STEM CELL TRANSPLANTATION (PBSCT) PRELIMINARY RESULTS OF DAY 100 TRM, IMMUNE RECONSTITUTION (IR), PTLD, SYSTEMIC VIRAL INFECTIONS (SVI), AND INVASIVE FUNGAL INFECTIONS (IFI)

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**Background:** CD34+ stem cell selection depletes T cells responsible for severe aGVHD (Lang et al., Blood, 2003). CD34+ selected grafts have been associated with delayed IR (Ball et al, BMT, 2005, Eyrych et al, BJH, 2001). Delayed IR is a significant risk factor for